



#3

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): CARROLL, et al.

Confirmation No.: 6233

Application No.: 09/932,732

Examiner: Not Yet Assigned

Filing Date: August 17, 2001

Group Art Unit: NYA

Title: METHOD OF PERFORMING TRANSACTIONS IN AN INFORMATION TECHNOLOGY NETWORK

Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on Sept. 20, 2001. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

( ) Statutory basic filing fee ( ) Utility \$740.00 ( ) Design \$330.00

( ) Additional claim fees of \$ (X) Other: Executed Assignment  
Formal Drawings

(X) Missing Parts Surcharge \$130.00

( ) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month \$110.00  
( ) two months \$400.00  
( ) three months \$920.00  
( ) four months \$1440.00

Please charge to Deposit Account **08-2025** the sum of \$130.00. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

CARROLL, et al.

By Paul D. Greeley  
Paul D. Greeley

Attorney/Agent for Applicant(s)  
Reg. No. 31,019

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

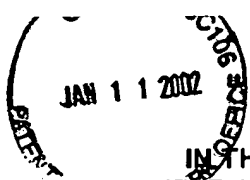
Date of Deposit: November 7, 2001

Typed Name: Deirdre Curran

Signature: Deirdre Curran

Date: November 7, 2001

#4



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): CARROLL, et al.

Application No.: 09/932,732

Filing Date: August 17, 2001

Title: METHOD OF PERFORMING TRANSACTIONS IN AN INFORMATION TECHNOLOGY NETWORK

Batch No.: NYA

Confirmation No.: 6233

Examiner: Not Yet Assigned

Group Art Unit: NYA

OFFICIAL DRAFTSPERSON  
Drawing Processing Branch  
Washington, D.C. 20231

DRAWING TRANSMITTAL LETTER

Sir:

Enclosed herewith please find:

- ( ) \_\_\_\_\_ sheets of redlined drawing(s) which indicate proposed changes to the drawing(s). Upon approval of these proposed changes, formal drawing(s) will be submitted.
- ( ) \_\_\_\_\_ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) which accompanied the Office Action dated \_\_\_\_\_.
- ( ) \_\_\_\_\_ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) and approved in the Notice of Allowability dated \_\_\_\_\_.
- (X) 9 sheets of formal drawing(s). Please substitute these formal drawing(s) for the informal drawing(s) originally filed.
- ( ) \_\_\_\_\_

Examiner's approval of the entry of these drawings is respectfully requested. No new matter has been added.

Respectfully submitted,

CARROLL, et al.

By Paul D. Greeley  
Paul D. Greeley

Attorney/Agent for Applicant(s)  
Reg. No. 31,019

Date: November 7, 2001

Telephone No.: (203) 327-4500

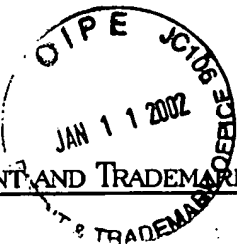
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: November 7, 2001

Typed Name: Deirdre Curran

Signature: Deirdre Curran

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,732	08/17/2001	Jeremy Carroll	30005893US

CONFIRMATION NO. 6233

FORMALITIES LETTER



HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Ch*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/17/2002 MBERHE 00000003 082025 09932732

01 FC:105 130.00 CH